



Trust | Invest | Grow

DIVYA PORTFOLIO PVT. LTD.

ACCOUNT OPENING FORM

CDSL (Individual / Corporate / CM)

DP : CDSL • DP ID I2073400 • SEBI Regn. No. : IN-DP-277-2016

Individual HUF NRI Foreign National Corporate / CM A/c

Instruction for Applicants

1. The account must be opened in same sequence / combination as appear on Income Tax database.
2. The investor may prefer to open an account in single name as opposed to joint name since nomination facility is available for depository accounts.
3. Standing instructions may be marked as 'yes' for simplified operations.
4. The agreement should be signed by all the joint holders on all the pages.
5. Photograph of sole/joint holder (s) to be affixed on form duly signed across the photograph.
6. As proof of identity and address Photostate copies of the documents listed above to be provided. Originals of the documents to be furnished for verification by officials of DP.
7. In case of HUF, account to be opened in the individual name of KARTA. declaration as per performa as per available at our office to be signed by KARTA and Adult Co-Parceners
8. No nomination to be recorded in case of HUF and account in the name of minor (s).
9. In case of Power of Attorney (POA) is to be registered, original or duly notarized Power of Attorney be furnished. Photograph of Power of Attorney holder must be attached duly signed across, signatures of POA holder should be attested on POA or separately. His/Her Identity/residence proof be also furnished.
10. In case account holder/nominee is minor-Photostate copy of Date of Birth certificate/marks sheet be provided. Photographs of both minor and guardian be provided duly signed across the photograph. Identity/residence proof of guardian be provided.
11. Signatures can be in English, Hindi or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
12. Details of the Names, Address and Tel. No. etc of the Magistrate/Notary Public/Special Executive Magistrate are to be provided in case of any attested done by them.
13. In case of additional signatures, separate annexures should be attached to the application form.
14. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, Name of POA, Signature of the POA must be lodged with the DP alongwith the application.
15. All correspondence / queries shall be addressed to the First / Sole Applicant only.
16. Where the holder is minor, person lawfully entitled to act on behalf of the minor should sign the form

APPLICATION FOR OPENING DEPOSITORY ACCOUNT (FOR INDIVIDUAL/HUF/NON-INDIVIDUAL ONLY) CDSL

DIVYA PORTFOLIO PVT. LTD.

DP : CDSL • DP ID 12073400
SEBI Regn. No. : IN-DP-277-2016

Regd. Office : B-402, 3rd Floor, NIT, Faridabad-121001 (Haryana) • Ph.: 0129-4037321

Corporate Office : VC-I, Prime Plaza, 2nd Floor Sector-3,

Vaishali-201010, Ghaziabad (U.P.) • Tel.: 0120-4229945, 4229946

Email : divyaport@divyaportfolio.co.in • Website : www.divyaportfolio.co.in

(To be filled by the Depository Participant)

Application No.											Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.																			
DP ID	1	2	0	7	3	4	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/our name as per following details :

HOLDERS DETAILS

Sole/First Holder's Name											PAN									
											UID									
Second Holder's Name											PAN									
											UID									
Third Holder's Name											PAN									
											UID									
Search Name																				

Name* _____

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

TYPE OF ACCOUNT (Please tick whichever is applicable)

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Director	<input type="checkbox"/> Individual Director's Relative
	<input type="checkbox"/> Individual HUF/AOP	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Minor
	<input type="checkbox"/> Individual Margin Trading A/c (Mantra)	<input type="checkbox"/> Others (Specify) _____	
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> NRI Repatriable Promoter
	<input type="checkbox"/> NRI Non-Repatriable Promoter	<input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> Others (Specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National-Depository Receipts	<input type="checkbox"/> Others (Specify) _____

Status										Sub-Status (To be filled by the DP)									
<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Banks	<input type="checkbox"/> Trust	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> OCB	<input type="checkbox"/> FPI														
<input type="checkbox"/> CM	<input type="checkbox"/> FI	<input type="checkbox"/> Clearing House	<input type="checkbox"/> Other (Specify) _____																
SEBI Registration No. (if applicable)											SEBI Registration Date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (if applicable)											RBI Approval Date	D	D	M	M	Y	Y	Y	Y
Nationality	<input type="checkbox"/> Indian										<input type="checkbox"/> Others (specify) _____								

DIVYA PORTFOLIO PVT. LTD.

FINANCIAL DETAILS

Gross Annual Income Details: Income Range per annum : Below Rs. 1 Lac Rs. 1 Lac to 5 Lac
 (please specify) Rs. 5 Lac to 10 Lac Rs. 10 Lac to 25 Lac Rs. 25 Lac to 1 Crore >1 Crore

Net Worth (Net worth should not be older than 1 year) Amount Rs.....
 as on (date) (Compulsory for Non-Individuals)

Occupation : Private Sector Public Sector Government Service Business Professional
 (please tick any one and give brief details) Agriculturist Retired Housewife Student Others _____ Please Specify

Please tick, if any of the authorized signatories/Promoters/Partners/Karta/Trustees/Whole time directors is either
 Politically Exposed Person (PEP) Related to Politically Exposed Person (PEP) (Please provide details as per annexure 2.2A)

Any other information :

DETAILS OF GUARDIAN (in case the account holder is minor)

Guardian's Name											PAN										
Relationship with the applicant																					
I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes')											[Automatic Credit] Yes <input type="checkbox"/> No <input type="checkbox"/>										
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')											Yes <input type="checkbox"/> No <input type="checkbox"/>										
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation										<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly										
I/We request you to send Electronic Transaction-cum-Holding Statement at the email Id _____											Yes <input type="checkbox"/> No <input type="checkbox"/>										
I/We would like to share the email ID with the RTA											Yes <input type="checkbox"/> No <input type="checkbox"/>										
I/We would like to receive the Annual Report (Tick the applicable box. If not market the default option would be in Physical)	<input type="checkbox"/> Physical										<input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic										
<input type="checkbox"/> I wish to opt BSDA Account. <input type="checkbox"/> I do not wish to opt BSDA Account																					
CAS Mode : <input type="checkbox"/> Physical CAS Required <input type="checkbox"/> CAS not Required																					
I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]											Yes <input type="checkbox"/> No <input type="checkbox"/>										

BANK DETAILS (Dividend Bank Details)

Bank Code (9 digit MICR Code)																							
IFS Code (11 Character)																							
Account Number																							
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____																						
Bank Name																							
Branch Name																							
Bank Branch Address																							
City						State						Country						PIN					

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- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque books is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO.
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- In case of option (ii), (iii) and (iv) above, MICR Code of the branch should be present/mentioned on the document.

CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID		Trading Member ID	
SMS Alert Facility Refer to Terms & Conditions given as Annexure 2.4	MOBILE No.: +91-..... [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).		<input type="checkbox"/> Yes <input type="checkbox"/> No
	Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure 2.6 I/We wish to avail the TRUST facility using the Mobile Number registered for SMS Alert Facility, I/We have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST.		
	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)
Easi	To register for easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.		

NOMINATION DETAILS

Dear Sir/Madam,

I/We the Sole Holder/ Joint Holder / Guardian (in case of minor) hereby declare that :

- I/We **do not wish to nominate any one for this demat account.**

[Strike out what is not applicable] [Signatures of all account holders should be obtained on this form]

- I/We **nominate** the following persons who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of Sole holder or the death of all the Joint Holders.

Nomination Regn. No.							
Date	D	D	M	M	Y	Y	Y

NOMINATION DETAILS	NOMINEE 1	NOMINEE 2	NOMINEE 3
Nominee Name			
First Name*	_____	_____	_____
Middle Name	_____	_____	_____
Last Name*	_____	_____	_____
Address*			
City*			
State*			
PIN*			
Country*			

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Telephone No.			
Fax No.			
PAN No.			
UID			
Email ID			
Relationship with the BO*			
Date of Birth* (Mandatory if Nominee is a Minor)			
Name of the Guardian of Nominee (if the nominee is minor) First Name*	_____	_____	_____
Middle Name	_____	_____	_____
Last Name*	_____	_____	_____
Address of the Guardian of nominee*			
City*			
State*			
PIN*			
Country*			
Age			
Telephone No.			
Fax No.			
E-mail Id			
Relationship of the Guardian with the Nominee			
Percentage of allocation of securities*			
Residual Securities [please tick any one nominee.* If tick not marked default will be first nominee]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note : Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

***Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.






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Note : One Witness shall attest signature(s) / Thumb Impression(s).

Details of the Witness		
Name of Witness		
Address of Witness		
Signature of Witness		

I/We have received and read the Rights and Obligations document of BO-DP (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

SIGNATURE'S OF HOLDER / DIRECTOR / AUTHORISED SIGNATORIES (Enclosed a Board Resolution for Authorised Signatories)

Holder	Name	Designation	Signature(s)
Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor) / Authorised Signatory			
Second Holder/ Authorised Signatory			
Third Holder/ Authorised Signatory			
Other Holders			
Second Holder			
Third Holder			

(Signatures should be preferably in blue ink)

(In case of more authorised signatories, please add annexure)

PRICE STRUCTURE FOR BENEFICIARY ACCOUNT

DIVYA PORTFOLIO PVT. LTD.

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana) • Ph.: 0129-4037321

Corporate Office : VC-I, Prime Plaza, IInd Floor Sector-3,
Vaishali-201010, Ghaziabad (U.P.) • Tel.: 0120-4229945, 4229946

DP : CDSL • DP ID 12073400

SEBI Regn. No. : IN-DP-277-2016

SCHEDULE OF CHARGES FOR DEPOSITORY DIVISION w.e.f.15th July 2015

SCHEDULE - A

SCHEME	Individual		Corporate
	A	B	C
	Annually	Life Time	Annually
Account Opening Charges	NIL	NIL	NIL
AMC : Equity Segment	Rs. 250/-	Rs. 1500/-	Rs. 1500/-
AMC : BSDA (holding up to 50,000/-)	NIL	Not applicable	Not applicable
AMC : BSDA (holding between 50,001/- to 2,00,000/-)	Rs. 100/-	Not applicable	Not applicable

CHARGES	NORMAL	BSDA
Transaction Charges	Rs. 18/- per ISIN	Rs. 18/- per ISIN
Extra Charges for submitted late DIS	Rs. 10/- per ISIN for Instruction	Rs. 10/- per ISIN for Instruction
Rejection / Fails	Rs. 30/- per Slip	Rs. 30/- per Slip
Demat Charges	Rs. 35/- per request and Rs. 5/- extra for each certificate.	Rs. 35/- per request and Rs. 5/- extra for each certificate.
Remat Charges	Rs. 35/- per request	Rs. 35/- per request
Pledge Creation / Closure / Invocation / Confirmation (% value for each ISIN in each request)	0.02% of value of securities pledged or Rs. 50/- whichever is higher	Rs. 50/- per request
Additional Account Statement	Rs. 20/- per Statement	Rs. 20/- per Statement
DIS Book including Courier Charges	Free with account opening and thereafter Rs. 25/- per booklet	Free two slip free with account opening and thereafter Rs. 25/- per booklet
Postage per Demat / Remat Request	Actual	Actual

Other Term & Conditions:

- In case of BSDA account no AMC till holding value is less than is Rs 50000/-, AMC of Rs. 100/- p.a shall be charged incase holding value is more than Rs 50000 but less than Rs 200000 & AMC as per normal rates shall be charged in case holding value is more than Rs. 200000
- In case the client fail to pay charges on or before the due date a delay payment penalty shall be levied @ 24 % P.A. on outstanding balance, further company reserve the rights to suspend such account untill, outstanding balance are recover in full..
- Any advance payments over and above the normal due amount can also be made. Any such higher amount paid than the minimum amount payable shall be adjusted against the bills raised from time to time.
- Services not mentioned herein will be charged separately as per the rate applicable form time to time.
- Taxes and other govt. levies will be charged extra as applicable for time to time.
- Value of the transaction will be in accordance with rates provided by CDSL.
- Please note that in case we are unable to recover the service charges due to non maintenance of adequate balances in the bank account or inadequate advance fees, then the demat account will be "frozen" for operations. Service charges for the delayed period against the outstanding will also be levied. Any request to resume the service will be charged Rs. 100/-.
- NRI customers would be required to submit ECS mandate to debit DP charges form linked bank accounts.
- The above charges/services are subject to revision at the discretion of the Company and any revision will be notified by ordinary post, and would be binding on the clients.
- Under the lifetime scheme AMC will be charged only once during account opening.** This AMC is non-refundable and would not be refundable even on the clouser of BO account.



First/Sole Holder Signature/Auth.Signatory



Second Holder Signature/Auth. Signatory



Third Holder Signature/Auth. Signatory

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL**Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

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Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO

agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of Registration / modification (Please cancel out what is not applicable).

BOID

1	2	0	7	3	4	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole/ First Holder's Name : _____ Second Holder's Name _____ Third Holder's Name _____

Mobile Number on which message are to be sent

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--


(Please write only ONE valid email ID on which communications; if any, is to be sent)

The mobile number is registered in the name of : _____

Email ID : _____
(Please write only ONE valid email ID on which communications; if any, is to be sent)


.....
First/Sole Holder Signature/Auth. Signatory


.....
Second Holder Signature/Auth. Signatory


.....
Third Holder Signature/Auth. Signatory

Place : _____

Date : ____/____/20____

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having/ will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
 11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
 12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
 13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

DIVYA PORTFOLIO PVT. LTD.

14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
17. Indemnity:
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
18. Disclaimer:
Depository shall be absolved of any liability in case:-
 - a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
 - b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
 - c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

DIVYA PORTFOLIO PVT. LTD.**DECLARATION BY HUF**

Please fill in for a HUF

DIVYA PORTFOLIO PVT. LTD.**Regd. Office :** B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)**Corp. Office :** VC-1, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P)

DP ID	1	2	0	7	3	4	0	0	Client ID								
--------------	----------	----------	----------	----------	----------	----------	----------	----------	------------------	--	--	--	--	--	--	--	--

As our HUF firm wishes to open an account with your DP in the said name _____

we beg to say that the first signatory to this letter, Mr _____ is the Karta of the Joint Family and other signatories are the adult co-parceners of the said family.

We further confirm that the business of the said joint family is carried on mainly by the said Karta as also by the other signatories hereto in the interest and for the benefit of the entire body of co-parceners of the joint family. We all undertake that claims due to the DP from the said family shall be recoverable personally from all or any of us and also for the entire family properties of which the first signatory is the Karta, including the share of minor co-parceners.

In view of the fact that ours is not a firm governed by the Indian Partnership Act of 1952, we have not got our said firm registered under the said Act.

We hereby undertake to inform the DP of the death or birth of a co-parcener of any change occurring at any time in the membership of our joint family during the currency of the account.

Your's Sincerely

Name & signature of Karta

**Name & Signature of Adult Co-parceners and date of birth of Minor Co-parceners
(Use Annexure for Additional Members)**

S.No.	Name	Sex	Relation with Karta	Date of Birth	Signature
1.					⊗ _____
2.					⊗ _____
3.					⊗ _____
4.					⊗ _____

DIVYA PORTFOLIO PVT. LTD.

DECLARATION TO BE GIVEN BY PARTNERS (For Demat)

To,

DIVYA PORTFOLIO PVT. LTD.

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)

Corp. Office : VC-1, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P)

1. I / We, have submitted an application for opening a single / joint account for opening a Beneficial Owner [BO] account in the category "individual" for holding and carrying out transactions in respect of securities belonging to our firm, since as per the clarification issued by the Department of Company Affairs vide its Circular No. 5/75 (8/18/75-CL-V) dated March 31, 1975, Partnership Firm is not capable of being a member within the meaning of Section 41 of The Companies Act, 1956.
2. I / we represent the firm.
3. In consideration of you having agreed to accept the Permanent Account Number [PAN] issued by the Income Tax Department [ITD] to the firm along with our individual identity documents, I / We acknowledge and undertake as under :-
 - a) The acceptance of the PAN number of our firm does not amount to you having taken notice of trust or recognise our firm.
 - b) I / We shall continue to be responsible for complying with the relevant provisions of The Companies Act, 1956 and the Rules made thereunder and other applicable Laws failing which I / we shall be responsible for the consequences thereof.

Yours faithfully,

Signature :	<input type="text"/>	Name of the Partner :
Signature :	<input type="text"/>	Name of the Partner :
Signature :	<input type="text"/>	Name of the Partner :

STANDING INSTRUCTION FOR AUTO PLEDGE CONFIRMATION

To,

DIVYA PORTFOLIO PVT. LTD.

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)

Date : _____

Corp. Office : VC-1, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P)

With reference to my / our application for opening a depository account, I / We request you to enable my / our depository account having DP ID 12073400 & Client ID _____ with Flag "**Standing Instruction for Auto Pledge Confirmation**".

I / We have read and understood the Securities and Exchange Board of India's guidelines on pledging of shares in dematerialised form as regulated by SEBI (Depositories and Participants) Regulations, 1996 and Depositories Act, 1996.



.....
First/Sole Holder Signature/Auth. Signatory



.....
Second Holder Signature/Auth. Signatory



.....
Third Holder Signature/Auth. Signatory

DIVYA PORTFOLIO PVT. LTD.*(To be executed on a non-judicial stamp paper of Rs. 100/-)***POA FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT**

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE _____
 (name of the BO), India, Indian inhabitant SEND GREETINGS.

Whereas I hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited, through DIVYA PORTFOLIO PRIVATE LIMITED bearing DP-ID- 12073400 (DP SEBI REGISTRATION NO. IN-DP-277-2016)

And whereas I am an investor engaged in buying and selling of securities through Divya Porfolio Pvt. Ltd. a member of NSE, BSE and MSEI bearing SEBI registration no. (NSE : INB/INF/INE231246837, BSE: INB/INF011246833.

And whereas due to exigency and paucity of time, I am desirous of appointing an agent/attorney to operate the aforesaid beneficiary account on my behalf for a limited purpose in the hereinafter appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT Divya Porfolio Pvt. Ltd. as my true and lawful attorney (hereinafter referred to as the attorney) for me and on my behalf and in my name to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account for the purpose of delivering the same to the clearing house of the recognized stock exchange toward any segment in respect of securities sold by me through them.

- This Authority is restricted to the settlement / margin obligation arising out of the transactions effected by me through Divya Porfolio Pvt. Ltd. and I ratify the instructions given by the aforesaid Clearing Member to the Depository Participant to transfer securities held in my /our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the following demat account nos-

Sl. No.	CDSL ACCOUNT DETAIL		NSDL ACCOUNT DETAILS
	DP ID	Client ID	CM BP ID
1.	12073400	00002683 (NSE Pool A/c)	IN567699 (NSE POOL)
2.	12073400	00000082 (BSE Pay-In A/c)	IN657333 (BSE POOL)
3.	12073400	00000156 (Beneficiary A/c)	

Of the stock broker maintained for the purpose of settlement of trades and margin obligations arising out of trades executed by me//us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities to the Clearing Member id allotted to the stock broker by any existing or future exchange that the stock broker has joined/ will join as a member or to any demat accounts linked to the said clearing Member ID provided that i/we have executed a Client Member Agreement with the stock broker for such exchanges.

- To issue instructions to the said Depository participant of transfer and or pledge the securities in my/our above mentioned BO account(s) for the limited purpose of meeting my/our margin requirements in connection with the trade executed by me/us on the relevant exchanges through Divya Portfolio Private Limited.

17

First/Sole Holder Signature/Auth. Signatory

6

Second Holder Signature/Auth. Signatory

6

Third Holder Signature/Auth. Signatory

Signature of Third Holder

Signature of Second Holder

Signature of Sole/First Holder

DIVYA PORTFOLIO PVT. LTD.

3. To apply and sign any documents for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc. as per my/our behalf.
4. To send the client consolidated summary of client's scripwise buy & sell positions taken with average rates by way of SMS/e-mail on daily basis.
5. I/We agree that Divya Porfolio Pvt. Ltd. would return to me/us the securities that may have been received by it erroneously or those securities that it was not entitled to receive from me/us.

That the Power of Attorney herein referred to is revocable at any time by giving notice in writing to Divya Porfolio Pvt. Ltd.

SIGNED AND DELIVERED by



.....
First/Sole Holder Signature/Auth. Signatory



.....
Second Holder Signature/Auth. Signatory



.....
Third Holder Signature/Auth. Signatory

Client ID: Client Code:..... Mobile No:.....

Signature of Co-parceners in case of HUF Account			
S.No.	Name of Co-parceners	Date of Birth	Signature of Co-parceners

**IN THE PRESENCE OF
WITNESSES :**

1. Signature :

Name:

Address:

2. Signature:

Name:

Address:

We accept the above the Power Attorney
For and behalf of

E-MAIL ID / MOBILE NUMBER DECLARATION

To,
DIVYA PORTFOLIO PVT. LTD.

Date : _____

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)

Corp. Office : VC-I, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P)

Dear Sir,

I/We hereby request you to send all your communications pertaining to my/our trade like Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from Compliance point of view) including the verification call from Divya Portfolio Pvt. Ltd. etc. on the below mentioned Mobile number/Email id.

Contact Mode	Mention E-mail / Mobile	Mobile / E-mail is registered in the Name (Please tick the correct box)			
		Self	Spouse	Dependent Child	Dependent Parent
	(Please mention Email id in CAPITAL LETTER ONLY)				
E-mail	<input type="checkbox"/> 1st Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2nd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3rd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobile No.	<input type="checkbox"/> 1st Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 2nd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/> 3rd Holder	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I/We am/are also aware that the Exchanges have been pursuing a process of confirming the trade details directly to the Clients via SMS and Email alerts which they have carried out through their respective Trading Member. Accordingly, I/We accord my/our consent to receive those SMS as well as Emails alerts directly from the Exchanges on the above stated contact details for the purpose. I/We hereby authorize you for sharing the said contact details with Exchanges.

Yours faithfully,

1st Holder Name: _____

2nd Holder Name: _____

3rd Holder Name: _____

Trading Code _____
BO/Client ID _____
DP ID _____



.....
First/Sole Holder Signature/Auth. Signatory



.....
Second Holder Signature/Auth. Signatory



.....
Third Holder Signature/Auth. Signatory

FORMAT OF REQUEST

[Please tick (✓) wherever applicable]

DP ID	Client ID	UCC Code	Date
Name of Account Holder			
<input type="checkbox"/> Mobile No.			
<input type="checkbox"/> E-mail ID			
I hereby declare that the aforesaid mobile number or E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My Family (Spouse, dependent children and dependent parents)			
Signature of Account Holder			
Name of Account Holder			

DIVYA PORTFOLIO PVT. LTD.

LINKING OF MY AADHAAR NUMBER AND PAN NUMBER TO MY CLIENT ACCOUNT AND DEMAT ACCOUNT

To,

DIVYA PORTFOLIO PVT. LTD.

Date : _____

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)

Corp. Office : VC-I, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

Dear Sir/Madam,

Re: Linking of my Aadhaar Number and PAN Number to my Client Account and Demat Account.


I hereby submit my Aadhar No. and PAN No. as issued by UIDAI and Income Tax Dept., Govt. of India and voluntarily give my consent to link it to my Client Account and Demat Account with Code _____ BO ID _____. I give my consent to obtain and use my Aadhaar Number, Name and fingerprint/Iris and my Aadhaar details to authenticate me with UIDAI as per Aadhaar Act. 2016 and all other applicable laws. I also give consent for verification of my PAN No. with Income Tax Department.

Divya Portfolio Pvt. Ltd. has informed me that my Aadhaar details and Identity information would only be used for demographic authentication, validation, e-KYC purpose and for all services aspects related to Stock Exchange Compliances.

I will not hold Divya Portfolio Pvt. Ltd. or any of its officials responsible in case of any incorrect information provide by me.

I enclose herewith the self-attested copies of Aadhaar and PAN Cards for incorporating in official records of Divya Portfolio Pvt. Ltd.

Thanking You,
Yours faithfully

Client Signature  _____

Name of Client _____

Mobile No. _____

Email id. _____

DIVYA PORTFOLIO PVT. LTD.

FORMAT OF BOARD RESOLUTION (in case of Corporate)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF..... MEETING HELD ON AT THE REGISTERED OFFICE OF THE COMPANY

“RESOLVED THAT application be made for opening of Demat & Trading account with Divya Portfolio Pvt. Ltd. at the Corp. Office VC-1, Prime Plaza, IInd Floor, Sector-3, Vaishali-201010, Ghaziabad (U.P) Depository participant of Central Depository Services (I) Limited (CDSL), & Member of National Stock Exchange of India Ltd. (NSE), Bombay Stock Exchange Ltd. (BSE), Metropolitan Stock Exchange of India Ltd. (MSEI).

Resolve that approval of the board be and is hereby granted to carry on the business of sell/purchase of shares, commodities etc. As permitted under the other object clause of the company. Further resolved that Mr. is here by authorized to inform the same to Roc and do necessary filing herewith.

RESOLVED THAT the company / trust be registered as CLIENT with Divya Portfolio Pvt. Ltd. for the purpose of dealing in equities, derivatives etc. and the said Member be and is hereby authorized to honor instruction oral or written, given on behalf of the Company/Trust by any of the under noted authorized signatories:-

S.No.	Name	Designation	Specimen Signature

Who are authorized to sell, purchase, transfer, endorse, negotiate and/ or otherwise deal/ through deal through Divya Portfolio Pvt. Ltd. on behalf of the Company/Trust.

RESOLVED FURTHER THAT, the common seal of the Company is affixed, wherever necessary, in the presence of any Directors or of any one director or any Company Secretary, who shall sign the same in token of their presence.

RESOLVED FURTHER THAT Mr. _____ and / or Mr. _____ Directors/ Trustee of the Company/ Trust be and are hereby severally or jointly authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may deemed necessary or expedient to give effect to this resolution.

FURTHER RESOLVED THAT the company do execute power of Attorney(s) for appointing Divya Portfolio Pvt. Ltd. as the attorney of the company is respect of matters set out in the draft of Power of Attorney(s) having been placed before the board.

That this resolution be communicated to the Divya Portfolio Pvt. Ltd. and remain in force until notice in writing be given to the Divya Portfolio Pvt. Ltd.

For

(Director)

Specimen Signature of :

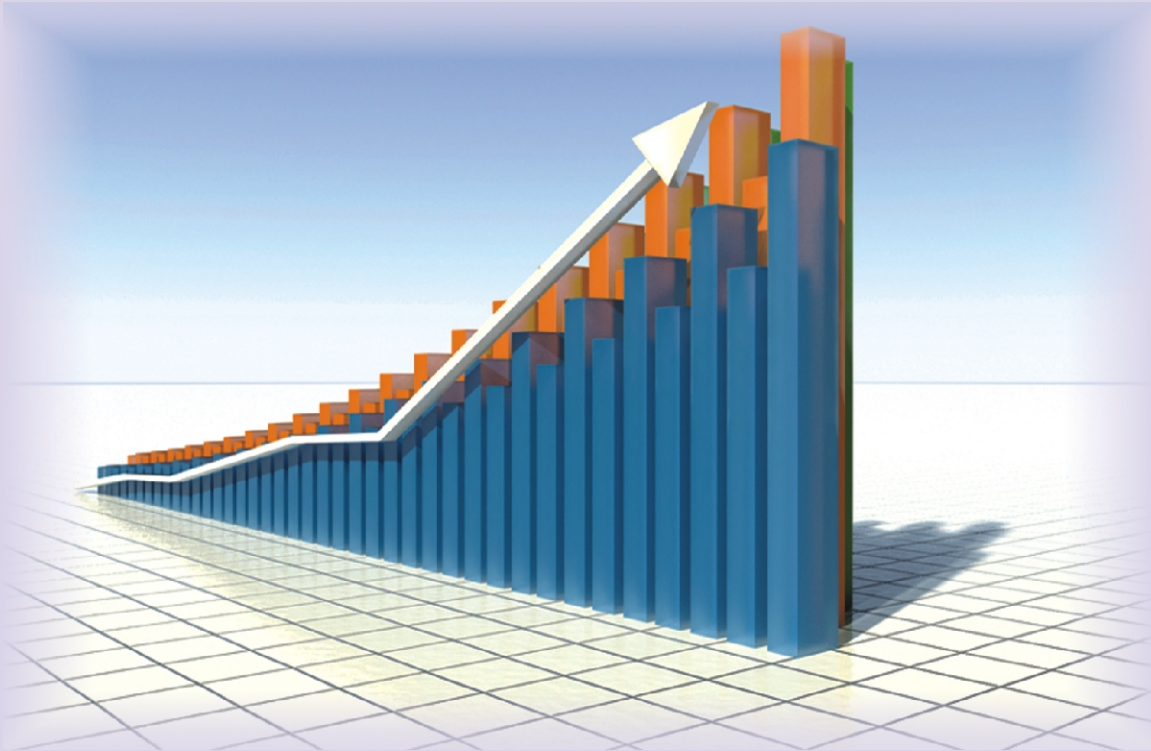
- 1.
- 2.
- 3.

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CHECK LIST FOR CLIENT REGISTRATION FORM

FORM RECEIVING DATE :	BRANCH CODE :	CLIENT CODE
ACCOUNT OPENING DATE : TM	RL/TL	TM :
DP	SUB BRANCH CODE :	DP :

I.	CHECKING DETAILS	YES	REMARKS
a)	Name as it appears on the ID & Address Proof (in capital letter)		
b)	Signature of Client on all pages and wherever necessary (Witness wherever required) <input type="checkbox"/>		
c)	Signature Checked and Verified.		
d)	Photograph (duly signed) <input type="checkbox"/>		
e)	A copy of PAN Card (Self Attested) <input type="checkbox"/>		
f)	Address Proof (Self Attested) <input type="checkbox"/>		
g)	Bank Proof containing Client Name (Self Attested) <input type="checkbox"/>		
h)	Demat Account Proof (Self Attested) <input type="checkbox"/>		
i)	Stamp Paper : <input type="checkbox"/>		
j)	Exchange given : <input type="checkbox"/> NSE CM <input type="checkbox"/> NSE F&O <input type="checkbox"/> NSE Currency <input type="checkbox"/> BSE CM <input type="checkbox"/> BSE F&O <input type="checkbox"/> BSE Currency <input type="checkbox"/> MSEI		
2.	Telephonic confirmation of Particulars done by _____ Date _____ Time _____ On Phone No. _____		
3.	Details Punched in Computer by _____		
4.	Cross Checking done by _____		
5.	UCC UPLOADED : <input type="checkbox"/> NSE <input type="checkbox"/> BSE <input type="checkbox"/> MSEI <input type="checkbox"/> ENTERED IN FORM DATA		
6.	BACK OFFICE WEB LOGIN User Name ID _____		
7.	DP WEB LOGIN User Name ID _____		
8.	Form sent to Surveillance by _____ Date : _____ Time : _____		
9.	Client ID Mapping done by _____ Branch ID _____ User ID: _____ Dealer ID : _____		
10.	INTERNET TRADING <input type="checkbox"/> Odin Diet <input type="checkbox"/> I-Net User ID _____		
11.	Client Account Status Report issued by _____		
12.	Form Returned to Compliance by _____ Date : _____ Time : _____		
13.	Kit Dispatched on _____ (Date) _____ Pod No. _____		



Trust | Invest | Grow

DIVYA PORTFOLIO PVT. LTD.

SHARE • CURRENCY • IPO • DEPOSITORY
NSE • BSE • MSEI • CDSL

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)
Ph.: 0129-4037321

Corporate Office : VC-1, Prime Plaza, IIInd Floor, Sector-3,
Vaishali-201010, Ghaziabad (U.P.)
Tel.: 0120-4229945, 4229946
Email : divyaport@divyaportfolio.co.in
Website : www.divyaportfolio.co.in



DIVYA PORTFOLIO PRIVATE LIMITED

(Depository Participant - CDSL) DPID : 12073400

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)

Corp. Office : VC-1, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

ACKNOWLEDGEMENT RECEIPT

We hereby acknowledge the receipt of the Account Opening application form :

Name of the Sole/First Holder	
Name of the Second Holder	
Name of the Third Holder	

(Depository Participant Seal and Signature)