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|-----------------------------|---------------------------------------|
| Name of the Trading Member | DIVYA PORTFOLIO PRIVATE LIMITED |
| Name of the Clearing Member | IL & FS Securities Services Limited |
| Depository Participant | CDSL |
| SEBI Regn. No. of DP | IN-DP-CDSL-646-2011, DP ID : 12073400 |

| SEBI REGISTRATION NUMBER | | |
|--------------------------|------------------------|------------------------------|
| NSE CM : INB 231246837 | NSE F&O: INF 231246837 | NSE CDS : INE 231246837 |
| BSE CM: INB 011246833 | BSE F&O: INF 011246833 | BSE CDS : INE 011246833 |
| | | MCX – SX CDS : INE 261246834 |

| | |
|------------------|--|
| Regd. Office | B-402, IIIRD FLOOR, NIT, FARIDABAD-121001 (HARYANA) |
| Corporate Office | VC-1, PRIME PLAZA, 2 ND FLOOR, SECTOR-3, VAISHALI, GHAZIABAD-201010 TEL. NO. 0120-4229945, 4229946 Email : divya@dplonline.co.in Website : www.dplonline.co.in |

| | |
|-------------------------|--|
| Compliance Officer Name | Mr. JANKI LAL SHARMA Phone No.: 0120-4229947 Email : jls@dplonline.co.in |
| CEO Name | Mr. ASHOK KUMAR DUGAR Phone no.: 0120-4229945 Email : akd@dplonline.co.in |

For any grievance/dispute please contact Divya Portfolio Pvt. Ltd. At the above address or email dgrievancies@dplonline.co.in and phone no. 0120-4229947.

In case not satisfied with the response, please contact the concerned exchange at:

1. NSE : ignse@nse.co.in or contact at 022-26598100
2. BSE : is@bseindia.com or contact at 022-22728097
3. MCX-SX : investorcomplaints@mcx-sx.com or contact at 022-67319000

| Trading Account Opening Form | | |
|---|---|----------|
| NAME OF THE DOCUMENT | SIGNIFICANCE OF THE DOCUMENT | Page No. |
| MANDATORY | | |
| Account Opening Form | a.) KYC Form - Document captures the basic information about the constituent and an instruction / check list. | 2-5 |
| | b.) Acknowledgement indicating receipt of documents. | 3 |
| | c.) Document captures the additional information about the constituent relevant to trading account and an instruction / check list. | 6-9 |
| Tariff Sheet | Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the stock exchange(s). | 10-12 |
| Voluntary Documents (Mandatory for Online Traders) | | |
| AuthorizationforElectronicCommunication | Where the client authorizes the stock broker to send contract notes and other documents in electronic form | 13 |
| Running Account Authorization | Helps the client to enjoy exposures linked to the credit in the trading account. | 14 |
| Undertaking | Contains voluntary undertakings by the client with respect to Divya Portfolio Pvt Ltd internal operations during the course of the relationship with Divya Portfolio Pvt Ltd. | 15 |

DOCUMENTS TO BE ATTACHED ALONG WITH THE TRADING FORM

1. 1 Copy of PAN Card.
2. 1 Copy of Address Proof (Driving License, Voter ID, Passport, Original Bank Statement).
3. Passport Size Color Photograph photo on the first page of KYC application form and sign across it.
4. 1 Copy of your Demat Holding Statement or the 'Client Master Report' from your current Demat Account. * Demat Proof is mandatory for trading in cash segment (Not Mandatory for Derivatives)
5. 2 Cheques a. 1 cancelled (Personal - Name to be mentioned on the cheque). If it's not please Attach 1 month Bank Statement. b. 1 cheque favouring "DIVYA PORTFOLIO PVT. LTD." For Rs 250/- for Account Opening. *If you want us to courier you the form, we'll charge you Rs. 300
6. In-Person Verification: The Exchange requires us to perform in-person verification, so you have to be available on a webcam or drop into our office for account opening.

Please Courier us

To,

DIVYA PORTFOLIO PVT.LTD.

Corporate Office: VC-1, Prime Plaza, IInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM**A. IMPORTANTPOINTS:**

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Self attested copy of PAN card is mandatory for all clients, including.
3. Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
4. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
5. If any proof of identity or address is in a foreign language, then translation into English is required.
6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
7. If correspondence & permanent address are different, then proofs for both have to be submitted.
8. Sole proprietor must make the application in his individual name & capacity.
9. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
10. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
11. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
12. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
13. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. PROOF OF IDENTITY (POI):- List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ driving license.
2. Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. PROOF OF ADDRESS (POA): -List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.

- 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

- 1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds uptoRs 50, 000/- p.a.
- 5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- 1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents in case of trading in derivatives segments :-

* In respect of other clients,documents as per risk management policy of the stock broker need to be provided by the client from time to time.

- 1. Copy of ITR acknowledgement.
- 2. Copy of annual accounts
- 3. In case of salary income-Salary slip,Copy of form 16.
- 4. Copy of demat account holding statement.
- 5. Bank Account statement for last 6 months.
- 6. Self declaration with relevant supporting documents.
- 7. Any other relevant documents substantiating ownership of assets
- 8. Net worth certificate

ACKNOWLEDGEMENT

To,
DIVYA PORTFOLIO PVT.LTD.

Regd. Office: B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana).

Corporate Office: VC-1, Prime Plaza, IInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

I/We hereby acknowledge the receipt of duly executed copy of KYC, Rights & Obligations, Note – Do’s and Dont’s for trading an the Exchange(s) for Investor, policies and procedure document pursuant to the SEBI Circular dated:-07 Dec. 2009, RDD,DPL Online Tariff sheet, general terms & conditions governing securities trading, undertaking/authorization and all other documents as Trading &DPAccount executed by me/us. Further I confirm that the documents for KYC submitted by me are true and correct. I acknowledge that the other details related to my account are as under:

Name ofthe Applicant.....

[D1] _____
(Signature of Client)

**KNOW YOUR CLIENT (KYC) APPLICATION FOR INDIVIDUAL
DPL ONLINE TRADING APPLICATION FORM**

PHOTOGRAPH

Please affix
the recent passport
size photograph
and sign across it
[D2]

DIVYA PORTFOLIO PVT.LTD.

Regd. Office: B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana).

Corporate Office: VC-1, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

Please fill this form in ENGLISH and in BLOCK LETTERS:

A. IDENTITY DETAILS

1. Name of the Applicant:
(As Appearing in Supporting Identification Documents)
2. Father's/Spouse Name:.....
3. Gender : Male Female Marital Status : Single Married
4. Date of Birth:...../...../.....
5. Nationality: Indian Other(Please Specify)
6. Status: Resident Individual Non Resident Foreign National
7. Permanent Account Number(PAN):
8. Unique Identification Number (UID) / Aadhaar, if any:.....
9. Specify the proof of identity submitted:.....

B. ADDRESS DETAILS

1. Correspondence Address :.....
.....
City/Town/Village:..... State :..... Country:.....
Pin Code:.....
2. Contact Details: Tel.: (O)..... Tel. (R)..... Mobile.....
Fax:..... E-mail.....
3. Specify the proof of address submitted for correspondence address:.....

C. PERMANENT ADDRESS DETAILS

4. Permanent Address (If different from above or overseas address, mandatory for Non-Resident applicant)

.....

City/Town/Village :..... State :..... Country:.....

Pin Code :..... Specify the proof of address submitted for correspondence address

.....

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/are knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/We may be held liable for it.

[D3]

Name & Signature of the Director / Authorized Signatory(ies)

Date

.....

FOR OFFICE USE ONLY

| | | |
|--|-------------------|--------------------------------|
| <input type="checkbox"/> (ORIGINALS VERIFIED) TRUE COPIES OF DOCUMENTS RECEIVED | | SEAL/STAMP OF THE INTERMEDIARY |
| <input type="checkbox"/> (SELF ATTESTED) SELF CERTIFIED DOCUMENT COPIES RECEIVED | | |
| EMPLOYEE SIGNATURE | DATE (DD/MM/YYYY) | |

A. TRADING ACCOUNT RELATED DETAILS

| | PRIMARY BANK A/C | SECONDARY BANK A/C |
|--------------------|---|---|
| BANK NAME | | |
| BRANCH ADDRESS | | |
| ACCOUNT TYPE | SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> OTHERS <input type="checkbox"/> | SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> OTHERS <input type="checkbox"/> |
| IN CASE OF NRI A/C | NRE <input type="checkbox"/> NRO <input type="checkbox"/> | NRE <input type="checkbox"/> NRO <input type="checkbox"/> |
| MICR NUMBER | | |
| IFSC CODE | | |

B. DEPOSITORY ACCOUNT DETAILS

1. DEPOSITORY PARTICIPANT NAME :
2. DEPOSITORY NAME : NSDL CDSL
3. BENEFICIARY NAME :
4. DP ID:..... BO ID:.....

C. TRADING PREFERENCES

| | Cash | F&O | Currency Derivatives |
|--------|-------|-------|----------------------|
| NSE | D4(a) | D4(b) | D4(c) |
| BSE | D4(d) | D4(e) | D4(f) |
| MCX-SX | | | D4(g) |

#If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter Should Be taken by the broker

D. FINANCIAL & OCCUPATION DETAILS

- Gross Annual Income Details (please specify) : Income Range per annum: Below Rs.1Lac Rs.1Lac to 5Lac Rs.5Lac to 10Lac Rs.10Lac to 25Lac Rs.25Lac to 1Crore >1Crore
- Net Worth (Net worth should not be older than 1 year) Amount Rs.....
 as on (date) (Compulsory for Non-Individuals)
- Occupation : Private Sector Public Sector Government Service Business Professional
 (Please tick anyone and give brief details) Agriculturist Retired Housewife Student Others _____ Please Specify

■ Please tick, if applicable (Note: Incase of Non-individuals please tick, if applicable for any of your authorized signatories/Promoters/Partners/Karta/Trusteeswholetimedirectors):

- Politically Exposed Person (PEP) Related to Politically Exposed Person(PEP)

■ Any other information:.....

E. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years :.....

F. DEALINGS THROUGH SUB-BROKES AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name:

SEBI Registration number:.....

Registered office address:

Ph:.....Fax:.....Email.....

Website..... Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of stock broker:.....

Name of Sub-Broker, if any:.....

Client Code:..... Exchange:.....

Detailsof disputes/dues pending from/to such stock broker/sub- broker:

G. INTRODUCER DETAILS (optional)

Name of the introducer:.....
(SURNAME) (Name) (MIDDLE NAME)

Status of the Introducer:Sub Broker/Remisier/Auth. Person/Existing Client:Client id.....

Address and Ph. No. of the Introducer :.....

Sign. of the Introducer.....

H. ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) :

.....

Specify your Email id, if applicable: Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):.....

.....Number of years of Investment/Trading Experience:

G. NOMINATION DETAILS

I/We wish to nominate I/We do not wish to nominate

Name of the Nominee:.....

Relationship with the Nominee :.....

PAN of Nominee :..... Date of Birth of Nominee :.....

Address and Ph. No. of the Nominee :.....

If Nominee is a minor, details of guardian :

Name of the Guardian:.....

Address and Ph. No. of Guardian:.....

.....

Sign of Guardian.....

WITNESSES (Only applicable in case the account holder has made nomination)

Name _____ Name _____

Signature _____ Signature _____

Address _____ Address _____

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the ‘Rights and Obligations’ document(s) and ‘Risk Disclosure Document’. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker’s designated website, if any.

Place _____

Date _____

[D5] _____
(Signature of all clients/All authorized Signatories)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

| | Documents verified with Originals | ClientInterviewedBy | In-Person Verification Done by |
|-----------------------------|--------------------------------------|---------------------|-----------------------------------|
| Name of the Employee | | | |
| EmployeeCode | | | |
| Designation of the Employee | | | |
| Date | | | |
| Signature | | | |

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

(Signature of authorized signatory)

Date _____

Seal /Stamp of the Stock Broker

TARIFF PLANS

1. TRADITIONAL PLANS

| <u>SEGMENT</u> | <u>% WISE BROKERGE INTRADAY</u> | <u>% WISE BROKERAGE DELIVERY</u> | <u>MINIMUM</u> |
|-------------------------|-------------------------------------|--------------------------------------|----------------|
| NSE CASH | | | |
| NSE FUTURES | | | |
| NSE OPTIONS | | | |
| NSE CURRENCY FUTURES | | | |
| NSE CURRENCY OPTIONS | | | |
| BSE CASH | | | |
| BSE CURRENCY FUTURE | | | |
| BSE CURRENCY OPTIONS | | | |

2. PER LOT/TRADE/ORDER PLAN: PRO PLANS

| <u>SEGMENT</u> | <u>INTRADAY</u> | <u>DELIVERY</u> | <u>MINIMUM</u> |
|-------------------------|-----------------|-----------------|----------------|
| NSE CASH | | | |
| NSE FUTURES | | | |
| NSE OPTIONS | | | |
| NSE CURRENCY FUTURES | | | |
| NSE CURRENCY OPTIONS | | | |
| BSE CASH | | | |
| BSE CURRENCY FUTURE | | | |
| BSE CURRENCY OPTIONS | | | |

3. ZERO BROKERAGE: FREEDOM PLANS

| <u>Plan Amount</u> | <u>Plan Validity</u> | <u>Allowed Segments</u> | |
|--------------------|--|-------------------------|--|
| | MONTHLY (Maximum Brokerage as stipulated by the exchange will be levied until reaches Plan amount) | NSE All Segments | |
| | | BSE All Segments | |
| | YEARLY | NSE All Segments | |
| | | BSE All Segments | |
| | LIFE TIME (5 Years) | NSE All Segments | |
| | | BSE All Segments | |

TERMS & CONDITIONS:-

- Digital contract Notes and all other statements would be sent via email.
- Physical copies of Contract Notes shall be charged Rs. 20/- per Contract. Courier Charges extra.
- Call & Trade; Rs 20/- per order will be charged extra.
- NSE Charges, Transaction charges and statutory Levies will be charged as stated.
- Terms and conditions are subject to change.
- Freedom & Pro Plans are applicable for internet trading only.

7. Please read the Disclaimer and Terms & Conditions.

For detailed explanation of schedule of charged visit our website www.dplonline.co.in

The terms and conditions of DPL online plans have been read and understood by me, I wish to avail the plans below provided by DPL Online for my trading account subject to the terms and conditions mentioned above. I declare that I have read and understood the terms and conditions governing the DPL Online.

MISCELLANIOUS CHARGES

1. Cheque Dishonour charges Rs 200/- each instance.
2. Fund Transfer charges Rs 8/- each transfer on transfer through payment gateway only.
3. Service Tax will be applied on above charges.

Yours faithfully,

| | |
|---|------|
| CLIENT NAME | |
| CLIENT'S SIGNATURE [D6] (DD/MM/YYYY) | DATE |
| FOR OFFICE USE ONLY | |
| CLIENT'S TRADING ACCOUNT NUMBER | |

AUTHORIZATION FOR ACCOUNT MODIFICATION

If I/ we were to add/ modify/ remove any of my detail including brokerage plans with Divya Portfolio Pvt. Ltd, I/ we authorize you to carry it out based on my request sent through an email to support@dplonline.co.in from my registered email address or intimation through an interface provided by you, where to I have been allowed secured access.

If you feel the need to do so, then at your own discretion, you may put in place appropriate mechanism to confirm the request before or after its execution by way of a call from a recorded line, or otherwise, personal meeting, SMS or other such other mode as you may deem fit.

CLIENT'S NAME

CLIENT'S SIGNATURE [D7]DATE (DD/MM/YYYY)

AUTHORISATION FOR ELECTRONIC COMMUNICATION

To,
DIVYA PORTFOLIO PVT. LTD. Date: _____
Regd. Office: B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)
Corp. Office: VC-1, Prime Plaza, IInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

Sub: Authorisation for Electronic Communication
Dear Sir,

I/We understand that I/We have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I/We hereby opt for receipt of contract notes in electronic form as well as all other communications including but not limited to account statement, holding statement, trade, order confirmations and any other notices also electronically.

I/We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account on your record for sending the contract notes and other communications to me/us.

1.

2.

I/We agree not to hold you responsible for late / non-receipt of contract notes sent in electronic form and any other communication for any reason including but no limited to failure of email servers, loss of connectivity, email in transit etc. I/We agree that the Log Reports of your dispatching software shall be a conclusive proof of dispatch of Contract Notes and any other electronic communication to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt / delayed receipt for any reason whatsoever.

I/We also agree that non-receipt of bounced mail notification by the stock broker shall amount to delivery at my/our e-mail id.

Please treat this authorisation as written ratification of my/our verbal directions / authorizations given and carried out by you earlier. I/We agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my/our directions given above.

I/We agree to inform you any change in my email in future either in physical mode by regd. post or by hand delivery or through an email from my email id registered with you.

Thanking you.

Yours faithfully,

Signature with date [D8]_____

Client Name_____

RUNNING ACCOUNT AUTHORIZATION**To,****DIVYA PORTFOLIO PVT. LTD. Date: _____****Regd. Office: B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)****Corp. Office: VC-1, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)**

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and/or Currency segment an/or Interest Rate Future segment in order to facilitate east of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I am aware that payout / dividend received / credited from the exchange(s) against settlement of the transaction as per settlement cycle is available within 1 working day of the payout from the Exchange. It is difficult for the me to make available the required funds on every pay-in of obligation / margin obligation, hence I required you to maintain my account on a running basis and retain the payout received / credit balance in may account for my future obligation / margin obligation or other liabilities unless I instruct otherwise.
2. I hereby further authorize you to debit / credit / transfer the amounts between the various segments either on the same Exchange and / or Exchanges to meet my/our obligation or various dues payable to you / Exchange(s).

If payment of funds is required, I shall request you in writing or through the web option for funds withdrawal on the web login. Further it may be noted that if required I may revoke this authorization at any time after giving request is writing.

3. I/We request you to settle my fund and securities account except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt atleast

Once in every calendar quarter or

Once in a calendar month

4. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet future margin / settlement obligations computed in manner specified by the exchange.
5. In case, at the time of settlement of account I/We hereby consent to retain fund upto Rs. 10,000 in cash market segment for all exchanges. The running account authorization provided by me shall continue and remain valid until it is revoked by me anytime in writing.

Thanking you.**Yours faithfully,****Signature with date [D9] _____****Client Name _____****Client Code _____**

To
DIVYA PORTFOLIO PVT. LTD.
B-402, III RD FLOOR, NIT,
Faridabad,Haryana-121001

SUB : UNDERTAKING / AUTHORISATION

With respect to Member- Constituent Relationship and Mandatory and Voluntary (optional) Documents executed between us, I/We do hereby authorize DIVYA PORTFOLIO PVT. LTD. to do the following:

1. Order Placement Instructions

I understand that you require written instructions from me for placing / modifying / cancelling orders. However, since it is not practical for me to give written instructions for placing/modifying/cancelling order. Even If I have facility to trade online through Internet and wireless technology, I may have to place orders by physically visiting/calling/Emailing the Call centre / branch specified for the said purpose by DIVYA PORTFOLIO PVT. LTD. in case of breakdown of internet connectivity or other similar reasons.

I hereby request you to kindly accept my, my authorized representative or mandate holder's verbal orders/instructions, in person or over phone and execute the same. I understand the risk associated with placement of verbal orders and accept the same. I shall not disown orders under the plea that the same were not placed by me provided I am sent ECN/Physical contract notes or trade confirmations through SMS and other modes. I indemnify DIVYA PORTFOLIO PVT. LTD. and its employees against all losses, damages, actions which you may suffer or face, as a consequence of adhering to and carrying out my instructions for orders placed verbally.

2. ERRORS AND OMISSIONS

I understand and agree that inadvertent errors may occur, while executing orders placed by me, In such circumstances DIVYA PORTFOLIO PVT. LTD. shall make all reasonable efforts to rectify the same and ensure that I am not put to any monetary loss. I understand and agree that I shall not hold DIVYA PORTFOLIO PVT. LTD. responsible beyond this and claim additional damages/loss.

I understand and agree that my request to modify or cancel the order shall not be deemed to have been executed unless and until the same is confirmed by DIVYA PORTFOLIO PVT. LTD.

3. NO MARKET MANIPULATION

I understand not to execute transactions, either singly or in concert with other clients, which may be viewed as manipulative trades viz. artificially raising, depressing or maintaining the price, creation of artificial volume, synchronized trades, cross trades, self trades, etc or which could be termed as manipulative or fraudulent trades by SEBI/Exchange. In case I am found to be indulging in such activities DIVYA PORTFOLIO PVT. LTD. has every right to inform the Exchange/SEBI/other regulatory authority of the same and suspend/close my trading account.

4. NOT TO ACT AS UNREGISTERED SUB BROKER

I undertake not to act as unregistered Sub-broker and deal only for myself and not on behalf of other clients In case I wish to deal for other clients also, I undertake to apply to SEBI through DIVYA PORTFOLIO PVT. LTD. to obtain a sub broker registration.

In case DIVYA PORTFOLIO PVT. LTD. perceives that I am acting as an unregistered sub broker, DIVYA PORTFOLIO PVT. LTD. has the right to immediately suspend my trading account and close all open positions and adjust the credits (across all segments) against the dues owed by me to DIVYA PORTFOLIO PVT. LTD. without

the requirement of any notice from DIVYA PORTFOLIO PVT. LTD. Further, DIVYA PORTFOLIO PVT. LTD. has the right to inform the concerned regulatory authorities about the same. In aforesaid eventuality, I agree and undertake to indemnify DIVYA PORTFOLIO PVT. LTD. from any loss/damage/claim arising out of such activity.

5. NOT DEBARRED BY ANY REGULATOR

I confirm and declare that there is no bar on me imposed by any Exchange or any Regulatory and/or Statutory authority to deal in securities directly or indirectly. I agree to inform DIVYA PORTFOLIO PVT. LTD. in writing, of any regulatory action taken by any Exchange or Regulatory/Statutory authority on me in future. In case I fail to inform the same and DIVYA PORTFOLIO PVT. LTD. on its own comes to know of such action DIVYA PORTFOLIO PVT. LTD. has the right to suspend/close my trading account and refuse to deal with me. Also, DIVYA PORTFOLIO PVT. LTD. can at its sole discretion, close all the open positions and liquidate collaterals to the extent of debit balances, without any notice to me.

6. PMLA DECLARATION

I declare that I have read and understood the provisions of the PMLA Act, 2002, which were also explained to me by DIVYA PORTFOLIO PVT. LTD. officials. I further declare I shall adhere to all the provisions of PMLA Act, 2002.

I further undertake and confirm that;

a. I do not have any links with any known criminal

b. I am a genuine person and not involved or indulge knowingly or assisted, directly or indirectly, in any process or activity connected with the proceeds of crime nor I am a party to it. The investment money is derived from proper means and does not involve any black or Hawala money in any manner.

7. INDEMNIFICATION

I hereby indemnify and hold DIVYA PORTFOLIO PVT. LTD. its Directors and employees harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and/or expenses that are occasioned or may be occasioned to the DIVYA PORTFOLIO PVT. LTD. directly or indirectly, relating to bad delivery of shares/securities/transfer documents introduced or that may be introduced or through me during the course of my dealing/ operations on the Exchange(s) and/ or proof of address, identity and other supporting/ documents provided by me at the time of registration and/ or subsequently.

INDEMNITY OF JOINT HOLDINGS

I hereby agree to indemnify and hold the DIVYA PORTFOLIO PVT. LTD. harmless from any claims, demands, actions, proceedings, losses, damages, liabilities, charges, and/ or expenses arising from transactions in securities held jointly by me with any other person or persons, if any.

8. MUTUAL FUND SERVICES SYSTEMS (MFSS) FACILITY

I am interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS of the Exchange. For the purpose of availing the MFSS facility, I state that "Know Your Client" details as submitted by me for the opening of Trading Account may be considered for the purpose of MFSS and I/We further confirm that the details contained in same remain unchanged as on date.

I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further

agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Scheme subscribed by me.

9. **NRI DECLARATION**

I understand that if the sole/first applicant has or attains NRI Status, investments in scheme of mutual funds can be made only upon providing Foreign Remittance (FIRC) to DIVYA PORTFOLIO PVT. LTD. every time the investment is made.

Yours faithfully

[D10] _____

Client Signature

Applicant Name: _____

Date: _____

A. THIRD-PARTY PAYMENTS

DIVYA PORTFOLIO PVT. LTD. shall have the prerogative to refuse payments received from any bank account where the client is not the first holder or which is not mentioned in the KYC or which the client has not got updated subsequently by submitted a written request along-with adequate proof thereof as per Performa prescribed by DIVYA PORTFOLIO PVT. LTD. DIVYA PORTFOLIO PVT. LTD. shall not be responsible for any loss or damage arising out of such refusal of acceptance of payments in the situations mentioned above.

However, due to oversight, if any such third-party payment has been accepted by DIVYA PORTFOLIO PVT. LTD. and the credit for the same has been given in the client's ledger DIVYA PORTFOLIO PVT. LTD. shall have the right to immediately reverse such credit entries on noticing or becoming aware of the same. In such a case DIVYA PORTFOLIO PVT. LTD. reserves the right to liquidate any of the open positions and/ or any of the collaterals received/ held on behalf of the client. DIVYA PORTFOLIO PVT. LTD. , its Directors and employees shall not be responsible for any consequential damages or losses.

B. NO DEALING IN CASH

DIVYA PORTFOLIO PVT. LTD. as a policy neither accepts any funds for funds for pay-in/margin in cash nor makes any payment or allows withdrawal of funds in cash. No claim will be entertained where the client states to have made any cash payment or deposited cash with any Branch/Sub-Broker/Remisier/Employee/Authorized Person of DIVYA PORTFOLIO PVT. LTD.

C. DISCLOSURE OF PROPRIETARY TRADING BY DIVYA PORTFOLIO PVT. LTD.

Pursuant to SEBI Circular Number SEBI/MRD/SEC/Cir-42/2003 dated November 19, 2003, DIVYA PORTFOLIO PVT. LTD. discloses to its clients about its policies on proprietary trades. DIVYA PORTFOLIO PVT. LTD. does proprietary trades in the cash and derivatives segment at NSE, BSE.

D. DELIVERIES

The client shall ensure that the shares are properly transferred to the designated demat account of DIVYA PORTFOLIO PVT. LTD. for effecting delivery to the Exchange against the sale position of the client. Such transfers shall be entered by the client within the time specified by SEBI/Exchange/ DIVYA PORTFOLIO PVT. LTD. In case the client fails to transfer the shares on time to DIVYA PORTFOLIO PVT. LTD. DIVYA PORTFOLIO PVT. LTD. shall not be responsible for any loss/damages arising out of such delayed transfers.

E. SQUARING OFF POSITIONS & SALE/ LIQUIDATION OF COLLATERAL MARGINS

The client shall settle the transactions, within the Exchange specified settlement time, by making the requisite payment of funds and / or delivery of the shares.

In case the client fails to settle the transactions within the settlement date, then DIVYA PORTFOLIO PVT. LTD. has the right to square off the open and/or unpaid positions, at an appropriate time, as it deems fit, without any notice to the client. The client shall not have any right or say to decide on the timing of closure of the open positions that needs to be closed DIVYA PORTFOLIO PVT. LTD. its Directors and Employees shall not have implied consent and authorization of the client in favour of DIVYA PORTFOLIO PVT. LTD.

After such square off of open positions by DIVYA PORTFOLIO PVT. LTD. as mentioned in above clauses, if there is a debit balance, the client shall pay the same immediately. However, if the client does not clear off the debit balances, DIVYA PORTFOLIO PVT. LTD. shall have the right to liquidate the shares and other securities of the client (keep as collateral/margin) to the timing of liquidation of shares and securities held in

collateral/margin and the shares and securities that need to be sold or liquidated. Divya Portfolio Pvt. Ltd. its Directors and employees shall not be responsible for any loss or damages arising out of such selling.

[D 11] _____
Client Signature

Please Check the Following documents Before Submitting the Form:-

- Passport-size photo and sign across it.
- Signed all places marked **D1 to D11**.
- Photocopy of PAN card, self-attested.
- Photocopy of last 6 months bank statement or Income Tax returns or salary slip or any other income proof, self-attested.
- Cancelled cheque from the bank you wish to link to your trading account.
- Account opening cheque of Rs. 250 payable to DIVYA PORTFOLIO PVT Ltd. or Rs. 200 if you have printed the application on your own.

KINDLY COURIER THIS FORM

To,
DIVYA PORTFOLIO PVT.LTD.
Corporate Office:
VC-1, Prime Plaza, IInd Floor, Sector-3, Vaishali-201010, Ghaziabad (U.P.)

DEPOSITORY DIVISION CDSL



DIVYA PORTFOLIO PRIVATE LIMITED

| DP ID | | | | | | | |
|-------|---|---|---|---|---|---|---|
| 1 | 2 | 0 | 7 | 3 | 4 | 0 | 0 |

| CLIENT ID | | | | | | | |
|-----------|--|--|--|--|--|--|--|
| | | | | | | | |

PLEASE READ THE INSTRUCTIONS BEFORE FILLING THE FORM

- Please fill up all information in Capital Letters.
- Please ensure that all the columns of demat registration form are duly filled and no box or space is left blank. Please strike off whichever is not applicable.
- Please write your Name & DOB as it appears on PAN Card.
- Please countersign on any overwriting/ correction/ cancellation.
- Pin Code is compulsory in address details.
- E-mail address is compulsory in the form.
- Please affix full sign
- Please note that the names and Signature of the account holders should be exactly in the same order as mentioned on the certificates to be dematerialized.
- Please note that the thumb impression and signature other than English or Hindi or any of the other languages not contained in the 8th schedule of constitution of India, must be attested by a Magistrate or a Notary Public or a special Executive Magistrate.
- Please ensure all documents/ supporting are self attested and are verified by our officials after comparing the same with original.
- Please provide a copy of cancelled cheque for MICR verification (mandatory).
- Please make upfront payment of AMC & advance as per scheme opted.
- Please note that all communications shall be sent at the address of FIRST HOLDER only.
- Copy of PAN Card shall be clearly visible and self attested.
- To help us serve you better, your Telephone No./ Mobile No. should be provided including relevant STD/ISD code.

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DIVYA PORTFOLIO PRIVATE LIMITED

(Depository Participant - CDSL) DPID : 12073400

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)
Corp. Office : VC-1, Prime Plaza, IInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT FOR INDIVIDUALS

(To be filled by the Depository Participant)

| | | | | | | | | | | |
|---------------------------|---|------|---|---|---|---|---|---|-----------|---|
| Application No. | | Date | D | D | M | M | Y | Y | Y | Y |
| DP Internal Reference No. | | | | | | | | | | |
| DP ID | 1 | 2 | 0 | 7 | 3 | 4 | 0 | 0 | Client ID | |

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/our name as per following details :

HOLDERS DETAILS

| | | | | | | | | | | | | | | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Sole/First Holder's Name | PAN | | | | | | | | | | | | | | | | | | | |
| | UID | | | | | | | | | | | | | | | | | | | |
| Second Holder's Name | PAN | | | | | | | | | | | | | | | | | | | |
| | UID | | | | | | | | | | | | | | | | | | | |
| Third Holder's Name | PAN | | | | | | | | | | | | | | | | | | | |
| | UID | | | | | | | | | | | | | | | | | | | |

Name* _____

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

TYPE OF ACCOUNT (Please tick whichever is applicable)

| | | | |
|---|---|---|---|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Individual Resident | <input type="checkbox"/> Individual Director | <input type="checkbox"/> Individual Director's Relative |
| | <input type="checkbox"/> Individual HUF/AOP | <input type="checkbox"/> Individual Promoter | <input type="checkbox"/> Minor |
| | <input type="checkbox"/> Individual Margin Trading A/c (Mantra) | <input type="checkbox"/> Others (Specify) _____ | |
| <input type="checkbox"/> NRI | <input type="checkbox"/> NRI Repatriable | <input type="checkbox"/> NRI Non-Repatriable | <input type="checkbox"/> NRI Repatriable Promoter |
| | <input type="checkbox"/> NRI Non-Repatriable Promoter | <input type="checkbox"/> NRI - Depository Receipts | <input type="checkbox"/> Others (Specify) _____ |
| <input type="checkbox"/> Foreign National | <input type="checkbox"/> Foreign National | <input type="checkbox"/> Foreign National-Depository Receipts | <input type="checkbox"/> Others (Specify) _____ |

DETAILS OF GUARDIAN (in case the account holder is minor)

| | | | | | | | | | | | | | | | | | | | | |
|---------------------------------|--|-----|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Guardian's Name | | PAN | | | | | | | | | | | | | | | | | | |
| Relationship with the applicant | | | | | | | | | | | | | | | | | | | | |

| | |
|--|--|
| I/We instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be 'Yes') | [Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No |
|--|--|

| | |
|-------------------------------|--|
| Account Statement Requirement | <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly |
|-------------------------------|--|

| | |
|---|--|
| I/We request you to send Electronic Transaction-cum-Holding Statement at the email Id _____ | <input type="checkbox"/> Yes <input type="checkbox"/> No |
|---|--|

| | |
|---|--|
| Do you wish to receive dividend/interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time] | <input type="checkbox"/> Yes <input type="checkbox"/> No |
|---|--|

DIVYA PORTFOLIO PVT. LTD.

BANK DETAILS (Dividend Bank Details)

| | | | | | | | | | | |
|-------------------------------|---|-------|--|---------|--|-----|--|--|--|--|
| Bank Code (9 digit MICR Code) | | | | | | | | | | |
| IFS Code (11 Character) | | | | | | | | | | |
| Account Number | | | | | | | | | | |
| Account Type | <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify)_____ | | | | | | | | | |
| Bank Name | | | | | | | | | | |
| Branch Name | | | | | | | | | | |
| Bank Branch Address | | | | | | | | | | |
| City | | State | | Country | | PIN | | | | |

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque books is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO.
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- In case of option (ii), (iii) and (iv) above, MICR Code of the branch should be present/mentioned on the document.

| | | |
|---|--|---|
| SMS Alert Facility Refer to Terms & Conditions given as Annexure 2.4 | MOBILE No.: +91-..... [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option). | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| | Easi To register for easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online. | <input type="checkbox"/> Yes <input type="checkbox"/> No |

NOMINATION DETAILS

| | | | | | | | | | | |
|-----------------------------|--|------|---|---|---|---|---|---|---|---|
| Nomination Registration No. | | Date | D | D | M | M | Y | Y | Y | Y |
|-----------------------------|--|------|---|---|---|---|---|---|---|---|

I/We the Sole Holder/ Joint Holder / Guardian (in case of minor) hereby declare that :

- I/We **do not wish to nominate any one for this demat account.**
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my/our death.

| | | | | | | | | | | |
|---|--|---------|--|--|--|--|--|--|--|--|
| Full Name of the Nominee | | | | | | | | | | |
| Address | | | | | | | | | | |
| City | | State | | | | | | | | |
| Country | | PIN | | | | | | | | |
| Telephone No. | | Fax No. | | | | | | | | |
| PAN | | UID | | | | | | | | |
| E-mail ID | | | | | | | | | | |
| Relationship with BO (if any) | | | | | | | | | | |
| Date of Birth (Mandatory if nominee is a minor) | | | | | | | | | | |

DIVYA PORTFOLIO PVT. LTD.

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian** :




| | | | | | | | |
|---------------------------------------|--|---------|--|--|--|--|--|
| Full Name of Guardian of Nominee | | | | | | | |
| Address | | | | | | | |
| | | | | | | | |
| City | | State | | | | | |
| Country | | PIN | | | | | |
| Telephone No. | | Fax No. | | | | | |
| PAN | | UID | | | | | |
| E-mail ID | | | | | | | |
| Relationship of Guardian with Nominee | | | | | | | |

This nomination shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us.

Note : Two witnesses shall attest signature(s) / thumb impression(s).

| Details of the Witness | | |
|------------------------|---------------|----------------|
| | First Witness | Second Witness |
| Name of Witness | | |
| Address of Witness | | |
| Signature of Witness | | |

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

| | First / Sole Holder or Guardian (in case of Minor) | Second Holder | Third Holder |
|------------|---|---|---|
| Name | | | |
| Signatures |  |  |  |

(Signatures should be preferably in black ink)



DIVYA PORTFOLIO PRIVATE LIMITED

(Depository Participant - CDSL) DPID : 12073400

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)
Corp. Office : VC-1, Prime Plaza, IIInd Floor Sector-3, Vaishali-201010, Ghaziabad (U.P.)

RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT AS PRESCRIBED BY SEBI & DEPOSITORIES

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions /notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

DIVYA PORTFOLIO PVT. LTD.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the

restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.



First Holder Signature



Second Holder Signature



Third Holder Signature

AUTHORIZATION FROM THE CLIENT FOR PROVIDING THE TRANSACTION STATEMENT AND OTHER COMMUNICATIONS THROUGH INTERNET

This agreement made and entered into this.....day of between..... R/o.....(hereinafter called "the client") and **DIVYA PORTFOLIO PRIVATE LIMITED**, having regd. office at B-402, 11th Floor, NIT, Faridabad-121001 (Haryana) and Corporate Office at VC-1, Prime Plaza, 11th Floor, Sector-3, Vaishali-201010, Ghaziabad (U.P.) (here in after) called "the Depository Participant")

WITNESSTHE as under :

WHEREAS the Client is registered/is registering/desires to register as the beneficial owner of a Depository account with the depository participant; and
 WHEREAS the client has requested and the Depository Participant has agreed to provide the transaction statement and other documents, information and communications through internet (web based and / or e-mail).

NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and/or email), both the parties to the agreement hereby covenant and agree as follows:

- The client hereby agrees and authorises the Depository participant to provide the transaction statements and any other documents, information and communications including but not limited to billing statements, holding statements, policies, procedures, notices, FAQs etc electronically through internet by sending it on his / her / its e-mail id or by publishing / displaying it on the website of the Depository Participant or by making it available as a download from the website of the Depository Participant.
- The Client further agrees not to hold the Depository Participant responsible for late / non receipt of the same for any reasons including failure of email servers, loss of connectivity or the mail box being full or otherwise becoming incapable of receiving the billing Statements, holding statements, policies, procedures, notices, FAQs, etc. email or due to any technical reasons beyond the control of the Depository Participant and agrees to completely rely on the log reports of the dispatching software of the Depository Participant as a conclusive proof of dispatch of email to him / her / it and not to dispute the same.
- The Client agrees not to receive Transaction Statement in paper form from the Participant. Provided however that, in case when the Participant is not able to provide Transaction Statement to its Clients through internet (web based / email) due to any unforeseen problems, the Participant should ensure that the Transaction Statement reaches to the Client in physical form as per the time schedule stipulated in the Bye Laws and Business Rules of CDSL.
- The Participant shall install adequate systems to restrict the access of the electronic statements, documents, information and communications etc. only to the Client.
- The client accepts and undertakes the sole responsibility for the usage, confidentiality, protection and secrecy of all security codes, passwords, customer user identification numbers, identification protocols etc., by whatever name called and the client hereby indemnifies the Depository Participant absolutely and in all respects and agrees not to hold the Depository Participant or any of its officers, employees or service providers, responsible for any losses / consequences if any access to his communications by use of such codes, passwords, customer user identification numbers, identification protocols etc. including cases where these security codes etc. are acquired by any person through theft, hacking or mimicked by forgery / electronic forgery or any other modes or manner.

The client hereby agrees that immediately on coming to know of any unauthorized access / or use of any services or systems by any unauthorized person on account of breach of security, theft of password, breach of confidentiality, crime etc., it shall be the duty of the client to take all such necessary steps including but not limited to informing the Depository Participant of the same and ensuring that such breach, loss of passwords and / or confidentiality are immediately stopped and agrees hereby that client shall inform the Depository Participant in writing that such breach has occurred and request the Depository Participant for facilities to be disabled and the client hereby agrees that notwithstanding such information to Depository Participant, the client unconditionally agrees to accept all instructions / transactions / deals entered / executed / accepted by the Depository Participant under a bonafide belief that these are from or under directions of the client or client's attorneys or authorized person notwithstanding any loss(s) of passwords / security codes, hacking of the systems etc. by any person.

- The client hereby agrees and declares that he / she / it has fully understood and assessed the risks involved in using the facility to receive communications in electronic form such as but not limited to misusing of passwords, internet frauds, technology risks etc., and is entering into this agreement with full indemnification of the Depository Participant on account of any damages, losses or negative consequences which client may suffer in any manner whatsoever.
- The client further agrees that in the event of change in his / her/its email address, the client shall immediately inform the same to the Depository Participant in writing by either registered post or through a digitally signed email. The Participant shall verify and confirm with the Client about the change. Further, the Participant shall confirm with the Client about validity of the email address at least once in a year
- The Participant and the Client shall have the right to terminate this agreement after giving a notice of at least 10 days to the other party.
- The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "AGREEMENT BETWEEN THE PARTICIPANT AND THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE PARTICIPANT" signed by the Client at the time of opening the account with the Depository.
- All disputes are subject to Kanpur jurisdiction only.

In Witness Where of the Client and the Depository Participant has caused these presents to be executed as of the day and year first above written. Signed and delivered by (for and on behalf of the client):

| |
|--|
| Signed and delivered by Client** |
| Sole/First Holder Signature |
| Second Holder Signature |
| Third Holder Signature |
| Signed and delivered by Participant |
| For DIVYA PORTFOLIO PRIVATE LIMITED |
| Authorized Signatory |

| | |
|----------------|--|
| Witness | |
| Signature | |
| Name : | |
| Address : | |
| | |

| | |
|----------------|--|
| Witness | |
| Signature | |
| Name : | |
| Address : | |
| | |

Place : _____ Date : _____ Day of _____ 20__

* Please Stamp & Sign in case of HUF account. **In Case Of Joint Holding, All Joint Holders Must Sign.

3rd Holder

2nd Holder

1st Holder





DIVYA PORTFOLIO PRIVATE LIMITED

(Depository Participant - CDSL) DPID : 12073400

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)
Corp. Office : VC-1, Prime Plaza, IInd Floor, Sector-3, Vaishali-201010, Ghaziabad (U.P.)

Terms & Conditions-Cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise.

Fees, Charges and deposits

- "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Tower, Dalal Street, Fort, Mumbai-400001 and all its branch offices and includes its successors and assigns.
- 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- SMS means "Short Messaging Service"
- 'Alerts' means a customized SMS sent to the BO over the said mobile phone number.
- 'Service Provider' means a cellular service provider(s) with whom the depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO.
- 'Service' means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

- The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The service may be discontinued for a specific period/ indefinite period with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- The service is currently available to the BOs who are residing in India.
- The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

- The depository shall send the alerts to the mobile phone number provided by the BO while registering for service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
- The BO also acknowledges that the readability, accuracy and timeliness of providing the services depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- The BO further acknowledge that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or in accuracy. In case of BO observes any

error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the errors as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO an account to avail SMS alerts facility.

- The BO authorized the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com The BO is advised not to inform the service provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to change such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by a third person.

Liability and Indemnity :

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, changes and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out or interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments :

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction :

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee/charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/are aware that more acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

| | | | | | | | | | | | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|-------|--|--|--|--|--|--|--|--|--|--|
| DP ID | 1 | 2 | 0 | 7 | 3 | 4 | 0 | 0 | BO Id | | | | | | | | | | |
|-------|---|---|---|---|---|---|---|---|-------|--|--|--|--|--|--|--|--|--|--|

Sole/First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile No. on which messages are to be sent

| | | | | | | | | | | | | | | | | | | | |
|---|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| + | 9 | 1 | | | | | | | | | | | | | | | | | |
|---|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

(Please write only the mobile number without prefixing country code or zero)

The Mobile Number is registered in the name of : _____

E-mail Id : _____

(Please write only ONE valid email Id on which communication; if any, is to be sent)

Place :

| | | | | | |
|--|-------|--|-------|--|-------|
| | _____ | | _____ | | _____ |
|--|-------|--|-------|--|-------|

Signature of Sole/First Holder Signature of Second Holder Signature of Third Holder

Date : ____/____/20____ ***Please do not use correction fluid, all cuttings must be attested by all the joint holder(s).**



POA FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE _____
(name of the BO), India, Indian inhabitant SEND GREETINGS.

Whereas I hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited, through DIVYA PORTFOLIO PRIVATE LIMITED bearing DP-ID- 12073400 (DP SEBI REGISTRATION NO. IN-DP-CDSL - 646-2011)

And whereas I am an investor engaged in buying and selling of securities through Divya Porfolio Pvt. Ltd. a member of NSE, BSE and MCX-SX bearing SEBI registration no. (NSE : INB/INF/INE231246837, BSE: INB/INF011246833.

And whereas due to exigency and paucity of time, I am desirous of appointing an agent/attorney to operate the aforesaid beneficiary account on my behalf for a limited purpose in the hereinafter appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT Divya Porfolio Pvt. Ltd. as my true and lawful attorney (hereinafter referred to as the attorney) for me and on my behalf and in my name to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account for the purpose of delivering the same to the clearing house of the recognized stock exchange toward any segment in respect of securities sold by me through them.

I. This Authority is restricted to the settlement / margin obligation arising out of the transactions effected by me through Divya Porfolio Pvt. Ltd. and I ratify the instructions given by the aforesaid Clearing Member to the Depository Participant to transfer securities held in my /our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the following demat account nos-

| Sl. No. | CDSL ACCOUNT DETAIL | | NSDL ACCOUNT DETAILS |
|---------|---------------------|----------------------------|----------------------|
| | DP ID | Client ID | CM BP ID |
| 1. | 12073400 | 00002683 (NSE Pool A/c) | IN514769 (NSE POOL) |
| 2. | 12073400 | 00000082 (BSE Pay-In A/c) | IN630795 (BSE POOL) |
| 3. | 12073400 | 00000156 (Beneficiary A/c) | |

Of the stock broker maintained for the purpose of settlement of trades and margin obligations arising out of trades executed by me//us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities to the Clearing Member id allotted to the stock broker by any existing or future exchange that the stock broker has joined/ will join as a member or to any demat accounts linked to the said clearing Member ID provided that i/we have executed a Client Member Agreement with the stock broker for such exchanges.

2. To issue instructions to the said Depository participant of transfer and or pledge the securities in my/our above mentioned BO account(s) for the limited purpose of meeting my/our margin requirements in connection with the trade executed by me/us on the relevant exchanges through Divya Portfolio Private Limited.



Signature of Sole/First Holder



Signature of Second Holder



Signature of Third Holder

Signature of Third Holder

Signature of Second Holder

Signature of Sole/First Holder

DIVYA PORTFOLIO PVT. LTD.

- 3. To apply and sign any documents for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc. as per my/our behalf.
- 4. To send the client consolidated summary of client's scriptwise buy & sell positions taken with average rates by way of SMS/e-mail on daily basis.
- 5. I/We agree that Divya Porfolio Pvt. Ltd. would return to me/us the securities that may have been received by it erroneously or those securities that it was not entitled to receive from me/us.

I further agree and confirm and confirm that the powers and authorities conferred by this power of Attorney shall continue until I have given to the Depository Participant notice in writing to the contrary and the said revocation shall be effective from the date and time of receipt of said notice at the corporate office VC- I , Prime Plaza, IInd Floor, Sector-3, Vaishali, Ghaziabad (U.P.) of depository participants.

SIGNED AND DELIVERED by



Signature of Sole/First Holder



Signature of Second Holder



Signature of Third Holder

Client ID: Client Code:..... Mobile No:.....

| Signature of Co-parceners in case of HUF Account | | | |
|---|-----------------------------|----------------------|----------------------------------|
| S.No. | Name of Co-parceners | Date of Birth | Signature of Co-parceners |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

IN THE PRESENCE OF

WITNESSES :

1. Signature :

Name:

Address:

2. Signature:

Name:

Address:

We accept the above the Power Attorney
For and behalf of

(To be filled by DIVYA PORTFOLIO PRIVATE LIMITED)

| | NAME | DATE | INITIALS |
|-------------|------|------|----------|
| Received by | | | |
| Checked by | | | |
| Entered by | | | |

INSTRUCTIONS FOR APPLICANTS

1. If the Clearing Member is a Sole Proprietor or an Individual, then the Corporate Account Opening Form should be filled in.
2. Signatures can be in English, Hindi or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
3. Details of the Names, Address and Tel. No. etc. of the Magistrate/Notary Public/Special Executive are to be provided in case of any attestation done by them.
4. In case of additional signatures, separate annexure should be attached to the application form.
5. In case of applications under a Power of Attorney, the relevant Power of Attorney of the certified and duly notarized copy thereof, Name of the POA, Signature of POA, must be lodged with the DP alongwith the application.
6. All correspondence/queries shall be addressed to the **First / Sole Applicant** only.
7. Suffix refers to **Mr. X Junior or Mr. X Senior or Lord Y I or Lord Y II** etc.
8. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
9. Strike off whichever is not applicable.
10. The following documents are to be submitted by the Investors :
 - The introduction may not be required if the certified copies of any one of the following document is submitted by the BO for determining the intending BO bonafides :- Photocopy of Election ID Card / Passport / Ration Card.
 - Date of Birth Certificate in case of Minor.
 - Proof of NRI Status.
 - Copy of RBI Approval for NRIs.
 - One Passport size Photograph of each Account Holder.



DIVYA PORTFOLIO PRIVATE LIMITED

(Depository Participant - CDSL) DPID : 12073400

Regd. Office : B-402, IIIrd Floor, NIT, Faridabad-121001 (Haryana)

Corp. Office : VC-1, Prime Plaza, IInd Floor, Sector-3, Vaishali-201010, Ghaziabad (U.P.)

SCHEDULE OF CHARGES FOR DEPOSITORY DIVISION w.e.f.1st April 2014

SCHEDULE - A

| SCHEME | Individual | | Corporate |
|---|------------|----------------|----------------|
| | A | B | C |
| | Annually | Life Time | Annually |
| Account Opening Charges | NIL | NIL | NIL |
| AMC : Equity Segment | Rs. 250/- | Rs. 1500/- | Rs. 1500/- |
| AMC : BSDA (holding up to 50,000/-) | NIL | Not applicable | Not applicable |
| AMC : BSDA (holding between 50,001/- to 2,00,000/-) | Rs. 100/- | Not applicable | Not applicable |

| CHARGES | NORMAL | BSDA |
|---|--|---|
| Transaction Charges | Rs. 18/- per ISIN | Rs. 18/- per ISIN |
| Extra Charges for Submitted late DIS | Rs. 10/- per ISIN for instruction | Rs. 10/- per ISIN for instruction |
| Rejection / Fails | Rs. 30/- per Slip | Rs. 30/- per Slip |
| Demat Charges | Rs. 35/- per request and Rs. 5/- extra for each certificate. | Rs. 35/- per request and Rs. 5/- extra for each certificate. |
| Remat Charges | Rs. 35/- per request | Rs. 35/- per request |
| Pledge Creation / Closure / Invocation / Confirmation (% value for each ISIN in each request) | 0.02% of value of securities pledged or Rs. 50/- whichever is higher | Rs. 50/- per request |
| Additional Account Statement | Rs. 20/- per Statement | Rs. 20/- per Statement |
| DIS Book including Courier Charges | Free with account opening and thereafter Rs. 25/- per booklet | Free two slip free with account opening and thereafter Rs. 25/- per booklet |
| Postage per Demat / Remat Request | Actual | Actual |

Other Term & Conditions:

- In case of BSDA account no AMC till holding value is less than is Rs 50000/-, AMC of Rs. 100/- p.a shall be charged incase holding value is more than Rs 50000 but less than Rs 200000 & AMC as per normal rates shall be charged in case holding value is more than Rs. 200000
- In case the client fail to pay charges on or before the due date a delay payment penalty shall be levied @ 24 % P.A. on outstanding balance, further company reserve the rights to suspend such account untill, outstanding balance are recover in full..
- Any advance payments over and above the normal due amount can also be made. Any such higher amount paid than the minimum amount payable shall be adjusted against the bills raised from time to time.
- Services not mentioned herein will be charged separately as per the rate applicable form time to time.
- Taxes and other govt. levies will be charged extra as applicable for time to time.
- Value of the transaction will be in accordance with rates provided by CDSL.
- Please note that in case we are unable to recover the service charges due to non maintenance of adequate balances in the bank account or inadequate advance fees, then the demat account will be "frozen" for operations. Service charges for the delayed period against the outstanding will also be levied. Any request to resume the service will be charged Rs. 100/-.
- NRI customers would be required to submit ECS mandate to debit DP charges form linked bank accounts.
- The above charges/services are subject to revision at the discretion of the Company and any revision will be notified by ordinary post, and would be binding on the clients.
- 10. Under the lifetime scheme AMC will be charged only once during account opening.** This AMC is non-refundable and would not be refundable even on the clouser of BO account.



(Sole / First Holder)



(Second Holder)



(Third Holder)

(ON STAMP PAPER OF Rs. 100/-)

POWER OF ATTORNEY IN FAVOUR OF DPL ONLINE

TO ALL TO WHOM THESE PRESENTS SHALL COME,
I/We..... residing
at.....
..... SEND GREETINGS.

WHEREAS I hold a Beneficiary account no. _____
(BO-ID) with Central Depository Services (India) Limited,
through DIVYA PORTFOLIO PRIVATE LIMITED bearing DP-ID-
12073400 (DP SEBI REGISTRATION NO. IN-DP-CDSL-646-2011

And whereas I am an investor engaged in buying and selling of
securities through Divya Portfolio Pvt. Ltd. a member of NSE,
BSE and MCX-SX bearing SEBI registration no.(NSE:
INB/INF/INE231246837, BSE: INB/INF/INE011246833, MCX-SX:
INE261246834.

And whereas due to exigency and paucity of time, I am desirous
of appointing an agent/attorney to operate the aforesaid
beneficiary account on my behalf for a limited purpose in the
hereinafter appearing:

AND WHEREAS as consideration for availing said services as well
as a prerequisite for fulfilling the various preconditions and
requirements so as to avail of the services by Divya Portfolio
Pvt. Ltd. I/we am/are desirous of appointing an attorney and
confer upon it powers hereinafter stated.

NOW THESE PRESENTS WITNESS that I/we, do hereby, jointly
and severally nominate, constitute and appoint Divya Portfolio
Pvt. Ltd. acting through any of its directors, officers and / or
agents, as my / our true and lawful Constituted Attorney
(hereinafter referred to as the said Attorney) for me/us in my
name and on my/us behalf of and at my / our risk and costs to
do, exercise and perform all or any of the following acts, deeds
and things:

In this Power of Attorney the term "Securities" shall include
shares, scrips, stocks, bonds, warrants, options, futures,
derivatives, convertible debentures, non-convertible
debentures, securitized debt instruments, fixed return
investment, equity Linked instruments or other marketable
Securities of a like nature in or of any incorporated company or
other body corporate, negotiable instruments including
issuance Bills of Exchange, deposits or other money market
instruments, commercial paper, certificate of deposit, units
issued by Unit Trust of India and units issued by Mutual Funds,
mortgage backed or other asset backed Securities issued by any
institution or body corporate cumulative convertible preference

shares issued by any incorporated company and Securities
issued by any incorporated company.

And any other new form of capital or money market
instruments that may be issued in the future by any
incorporated company / firm / institution or Government.

1. This Authority is restricted to the settlement / margin
obligation arising out of the transactions effected by me
through Divya Portfolio Pvt. Ltd. and I ratify the instructions
given by the aforesaid Clearing Member to the Depository
Participant to transfer securities held in my / our
aforementioned beneficial owner account(s) or any other
account informed by me in writing to the stock broker from
time to time to the following demat account nos

| SI. No. | CDSL ACCOUNT DETAIL | | NSDL ACCOUNT DETAILS |
|------------|---------------------|-------------------------------|-------------------------|
| | DP ID | Client ID | CM BP ID |
| 1 | 12073400 | 00002683 (NSE Pool A/c) | IN514769 (NSE POOL) |
| 2 | 12073400 | 00000082 (BSE Pay-In A/c) | IN630795 (BSE POOL) |
| 3 | 12073400 | 00000156 (Beneficiary A/c) | |

Of the stock broker maintained for the purpose of
settlement of trades and margin obligations arising out of
trades executed by me//us on any recognized stock
exchange through the stock broker. However, the said
power will be restricted to only transfer of securities to the
Clearing Member id allotted to the stock broker by any
existing or future exchange that the stock broker has joined/
will join as a member or to any demat accounts linked to the
said clearing Member ID provided that i/we have executed a
Client Member Agreement with the stock broker for such
exchanges.

2. To issue instructions to the said Depository participant of
transfer and or pledge the securities in my/our above
mentioned BO account(s) for the limited purpose of meeting
my/our margin requirements in connection with the trade
executed by me/us on the relevant exchanges through Divya
Portfolio Private Limited.

3. To debit my/our ledger account maintained with Divya Portfolio Pvt. Ltd. for fees/charges etc. and to discharge all financial obligation relating to transactions undertaken by me or any of the Stock Exchanges.
4. Pursuant to my/our instruction or instruction of my / our Authorized Representative to do all such acts and things as may be necessary to effect the subscription / purchase / redemption or any other transactions for mutual funds/ online Mutual Fund or otherwise of initial public offer / follow on public offer / right issue / or any other like issues and / or Investment Product for which service are availed from Divya Portfolio Pvt. Ltd.
5. I/We agree that Divya Portfolio Pvt. Ltd. would return to me/us the securities that may have been received by it erroneously or those securities that it was not entitled to receive from me/us.
6. To instruct the Depository Participant to debit securities to the said account and/or transfer securities from the said account to pool/collateral /margin/any other beneficiary account of DPL to the extent of shares sold through Divya Portfolio Pvt. Ltd. for pay in obligation as well as for margins towards any Stock Exchange in all segments.
7. To issue instructions to my DP to execute the share transfer requests given by me/us in electronic form, in any format and at any time through the website of Divya Portfolio Pvt. Ltd. Online or through the internet or any other electronic mode, towards upfront margin or any other margin obligation towards any stock exchange.
8. For the purpose of the aforesaid to sign contracts, agreements, transfers, acceptances, receipts, or other instruments, documents and forms, to accept and carry out correspondence with such person(s) or authority/authorities or department(s) and to do all such lawful acts requisite for effecting the same.

To do or commit to do all such acts and things as may be necessary or desirable in order to exercise its powers hereinabove or to comply with any laws, orders, rules, regulations or directions of any government or regulatory or other authorities.

9. My/Our Attorney Divya Portfolio Pvt. Ltd. shall not be liable for any loss that may result from failure/inability of electronic connectivity of rejection of my/our application for any reason whatsoever.

10. In case the securities or fund that may have been delivered/credited/transferred to my DP account erroneously or those securities or fund that I was not entitled to receive, then DPL is authorized to instruct the Dp to reverse such transactions without obtaining any authorization from me.
11. I understand that on production of adequate proof by me you will return to me the security/(ies) or funds that may have been erroneously credited/transferred to your account which you are not entitled to receive from me or us.

AND I/We hereby agree that Divya Portfolio Pvt. Ltd. shall exercise such powers and authorities conferred under the above Power of Attorney pursuant only to the instructions in that behalf given by me/us or my/our Authorised Representative, which may be given orally, over the telephone, through the internet, electronically or in any other manner acceptable to Divya Portfolio Pvt. Ltd. and such instructions shall be deemed to have been given by me/us and shall not be questioned by me/us and shall be conclusive and binding on me/us.

AND I/We hereby agree that all such acts done by my/our above mentioned attorney shall be deemed to be acts done by me/us and if necessary shall be ratified by me/us on the instructions of the said attorney.

I/We do hereby confirm and declare that this Power of Attorney shall be valid, effective, operative and shall remain in force till the same is revoked by me/us. Further, I/We hereby confirm that such revocation shall not affect my/our obligations outstanding at the time such revocation and the authority granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this Power of Attorney and all outstanding towards Divya Portfolio Pvt. Ltd Online have been settled.

I/We hereby agree that any dispute arising out of all trades, transactions and contracts will be settled under the Bye-Laws, Rules and Regulations of the concerned Stock Exchanges where the trades have been executed and depository related issues under the bye laws of the concerned Depository, where the concerned beneficial owner demat account is held.

And generally my said attorney shall have the power to do all such acts, deeds and things on my behalf and I could have lawfully done, if personally present.

AND I do hereby for myself, my heirs, executors, administrators of acts done and legal representatives ratify and confirm and agree to ratify and by attorney confirm all and whatsoever my said attorney or his substitute or substitutes shall lawfully do or cause to be done by virtue of these presents.

MADE at _____ on this _____ day of ___20___ IN WITNESS whereof I/We have hereunto set and subscribed my/our respective hands to these presents the day and the year herein above written.

| Signed for and on behalf of | 1 st Holder | 2 nd Holder | 3 rd Holder | The Participant |
|------------------------------|------------------------|------------------------|------------------------|---|
| Signature | | | | For Divya Portfolio Pvt. Ltd. Online Authorised Signatory |
| Name of Authorised Signatory | | | | |
| Title / Designation | | | | |
| Witness 1 | Name & Address | | Signature | |
| Witness 2 | Name & Address | | Signature | |

CHECK LIST FOR CLIENT REGISTRATION FORM

| | | |
|---------------------------|-------------------|-------------|
| FORM RECEIVING DATE : | BRANCH CODE : | CLIENT CODE |
| ACCOUNT OPENING DATE : TM | RL/TL | TM : |
| DP | SUB BRANCH CODE : | DP : |

| I. | CHECKING DETAILS | YES | REMARKS |
|-----|--|-----|---------|
| a) | Name as it appears on the ID & Address Proof (in capital letter) | | |
| b) | Signature of Client on all pages and wherever necessary (Witness wherever required) <input type="checkbox"/> | | |
| c) | Signature Checked and Verified. | | |
| d) | Photograph (duly signed) <input type="checkbox"/> | | |
| e) | A copy of PAN Card (Self Attested) <input type="checkbox"/> | | |
| f) | Address Proof (Self Attested) <input type="checkbox"/> | | |
| g) | Bank Proof containing Client Name (Self Attested) <input type="checkbox"/> | | |
| h) | Demat Account Proof (Self Attested) <input type="checkbox"/> | | |
| i) | Stamp Paper : <input type="checkbox"/> | | |
| j) | Exchange given : <input type="checkbox"/> NSE CM <input type="checkbox"/> NSE F&O <input type="checkbox"/> NSE Currency <input type="checkbox"/> BSE CM <input type="checkbox"/> BSE F&O <input type="checkbox"/> BSE Currency <input type="checkbox"/> MCX-SX | | |
| 2. | Telephonic confirmation of Particulars done by _____ Date _____ Time _____ On Phone No. _____ | | |
| 3. | Details Punched in Computer by _____ | | |
| 4. | Cross Checking done by _____ | | |
| 5. | UCC UPLOADED : <input type="checkbox"/> NSE <input type="checkbox"/> BSE <input type="checkbox"/> MCX-SX <input type="checkbox"/> ENTERED IN FORM DATA | | |
| 6. | BACK OFFICE WEB LOGIN User Name ID _____ | | |
| 7. | DP WEB LOGIN User Name ID _____ | | |
| 8. | Form sent to Surveillance by _____ Date : _____ Time : _____ | | |
| 9. | Client ID Mapping done by _____ Branch ID _____ User ID: _____ Dealer ID : _____ | | |
| 10. | INTERNET TRADING <input type="checkbox"/> Odin Diet <input type="checkbox"/> I-Net User ID _____ | | |
| 11. | Client Account Status Report issued by _____ | | |
| 12. | Form Returned to Compliance by _____ Date : _____ Time : _____ | | |
| 13. | Kit Dispatched on _____ (Date) _____ Pod No. _____ | | |



Trust | Invest | Grow

DIVYA PORTFOLIO PVT. LTD.

SHARE • CURRENCY • IPO • DEPOSITORY
NSE • BSE • MCX-SX • CDSL

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